



MAIL STOP - AMENDMENT  
Docket No.: 26001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: ESAKI, et al.

Art Unit: 2854

Appl. No.: 10/782,767

Examiner: Williams

Appl. Filing Date: February 23, 2004

Confirm. No.: 7653

For: **PROCESS PROGRESS DISPLAY DEVICE**

**TRANSMITTAL LETTER**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Submitted herewith for filing in the U.S. Patent and Trademark Office is the following:

1. Information Disclosure Statement;
2. Copy of the Search Report for corresponding European Patent Application No. 04004492.7;
3. PTO Form-1449 with 4 references cited; and
4. Copies of 3 of the 4 references cited.

The Commissioner is hereby authorized to charge any deficiency or credit any excess to Deposit Account No. 14-0112.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

November 30, 2006

Gary M. Nath, Reg. No. 26,965  
Gregory B. Kang, Reg. No. 45,273  
Jerald L. Meyer, Reg. No. 41,194  
Customer No. 20529

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

An Information Disclosure Statement is submitted herewith pursuant to 37 C.F.R. 1.97-1.98. Please note the following particulars: [NOTE: One only of items a, b, c, and d must be checked.]

a. ☐ The enclosed statement is being filed within three months of the filing date of a national application, or within three months of the date of entry into the national stage as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing date of a first Office Action on the merits, whichever event occurs last.

b. ☒ The enclosed statement is being filed after a first action on the merits but before the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311.

The enclosed statement is accompanied by [check one]:

☒ i. a certification in part (e) below as specified in 37 C.F.R. 1.97(e), or

☐ ii. a check in the amount required by 37 C.F.R. 1.17(p).

c. ☐ The enclosed statement is being filed after the mailing date of a final action under 37 C.F.R. 1.113, or a notice of allowance under 37 C.F.R. 1.311, but before

payment of the issue fee.

[ ] Certification report(e) below; and

[ ] a check in the amount as required by 1.17(p).

- d. [ ] The enclosed statement is being filed pursuant to 37 C.F.R. 1.97(i), for placement in the file.

- e. Certification [Check one] [Certification is required only if box (b)(i) or box (c) is checked.]

[XXX] I hereby certify that each item of information contained in the enclosed Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement,

or

[ ] I hereby certify that no item of information in the enclosed Information Disclosure Statement herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

or

[ ] Appropriate certification is attached.

- f. [XXX] If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due in connection with this communication to Deposit Account No. 14-0112.

- g. [ ] Copies of the documents are attached herewith with a completed PTO Form-1449.

or

[ ] Copies of the documents are not attached, with a completed PTO Form-1449 as allowed under CFR

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1.98(d)(1)(2). The earlier application is identified as:

and / or


[XXX] Copies of US Patents/Publications not attached, with a completed PTO Form-1449 as allowed in Official Gazette Aug. 5, 2003/ Vol. 1273, no. 1.

The Examiner is respectfully requested to cite the documents listed on the attached Form PTO-1449 in the next Office Action. In so doing, the Examiner is respectfully requested to initial in the space adjacent to the listing of each document on the Form PTO-1449, and return a copy of the initialed Form PTO-1449 with the next communication to Applicants, to confirm that these documents have been considered by the Examiner and made of record in this application.

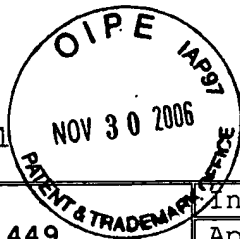
If the Examiner has any questions or wishes to discuss this application, kindly telephone the undersigned at the below-listed number.

Respectfully submitted,  
**NATH & ASSOCIATES PLLC**

November 20, 2006

  
Gary M. Nath, Reg. No. 26,965  
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Customer No. 20529

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**PTO FORM-1449****INFORMATION DISCLOSURE  
CITATION**

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**U.S. PATENT DOCUMENTS**

| Exam.<br>Initial |    | Document No. | Issue/Public. Date | Inventor | Filed Date |
|------------------|----|--------------|--------------------|----------|------------|
|                  | A1 | 5,740,731    | 4/21/1998          | Kagawa   | 9/12/1996  |
|                  | A2 |              |                    |          |            |
|                  | A3 |              |                    |          |            |
|                  | A4 |              |                    |          |            |
|                  | A5 |              |                    |          |            |
|                  | A6 |              |                    |          |            |

**FOREIGN PATENT DOCUMENTS**

| Exam.<br>Initial |     | Document No. | Public. Date | Country | Translation |
|------------------|-----|--------------|--------------|---------|-------------|
|                  | A7  | 0 382 279 A2 | 8/16/1990    | EP      | N/A         |
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|                  | A11 |              |              |         |             |
|                  | A12 |              |              |         |             |
|                  | A13 |              |              |         |             |
|                  | A14 |              |              |         |             |

**OTHER**

(Including Author, Title, Date, Pertinent Pages, etc.)

| Exam.<br>Initial |     |
|------------------|-----|
|                  | A15 |
|                  | A16 |
|                  | A17 |
|                  | A18 |
|                  | A19 |
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|                  | A25 |
|                  | A26 |
|                  | A27 |
|                  | A28 |
|                  | A29 |
|                  | A30 |

Examiner

Date Considered

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP'609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.